

# String Theory - Exam Sheet

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April 2016

## 1 Basics of string theory

- central axiom: fundamental objects in Nature not pointlike, but 1-dimensional (combined with standard kinematics of general covariance and usual procedure of quantization)
  - gen. cov.: inv. of form of physical laws under arb. diff. coordinate trafos.; essential idea: coordinates don't exist in nature, are only artifices in our description, hence should play no phys. role
- two sectors arise from elementary fact string can be open/closed: open = Yang-Mills, closed = gravity; since open strings can close up and vice versa, both are automatically dynamically related

## 2 The classical bosonic string

- $S_{NG} = -T \int_{\Sigma} dA$  defines **Nambu-Goto action** of classical bosonic string, where  $T$  string tension,  $dA = \sqrt{-\det(\mathbf{G})} d\tau d\sigma$  area element of worldsheet (WS)  $\Sigma$  with coordinates  $\xi = (\tau, \sigma)$  and induced metric (or pullback of ambient space metric  $\eta_{\mu\nu}$  onto  $\Sigma$ )  $G_{ab} = \frac{\partial X^\mu}{\partial \xi^a} \frac{\partial X^\mu}{\partial \xi^b}$
- to eliminate square root in  $S_{NG}$ , introduce WS metric  $h^{ab}(\tau, \sigma)$  as auxiliary field in on-shell- $S_{NG}$ -equivalent **Polyakov action**  $S_P = -\frac{T}{2} \int_{\Sigma} d\tau d\sigma \sqrt{-h} h^{ab} G_{ab}$ , with  $h = \det(\mathbf{h})$ ;  $X^\mu$  still spacetime (ST) vector but scalar on WS, hence  $S_P$  simply action of  $d$  scalars
  - **symmetries: 1.  $d$ -dim. ST Poincaré invariance**  $X^\mu \rightarrow \Lambda^\mu{}_\nu X^\nu + V^\mu$ , with  $\Lambda^\mu{}_\nu \in SO(1, d-1)$  **2. local WS diffeomorphism inv.** under  $\xi^a \rightarrow \xi^a - \epsilon^a(\xi)$  under which the WS scalar  $\delta X^\mu = \epsilon^a \partial_a X^\mu$ , the metric  $\delta h_{ab} = \nabla_a \epsilon_b + \nabla_b \epsilon_a$ , scalar density of weight 1  $\delta \sqrt{-h} = \partial_a(\epsilon_a \sqrt{-h})$  **3. local Weyl inv.**  $h_{ab} \rightarrow e^{2\omega(\xi)} h_{ab}$ , special symmetry only for 2-dim. WS, important for cons. quantization
- could add 2 terms to  $S_P$ : **1. cosmol. constant term**  $S_\Lambda = \Lambda \int_{\Sigma} d^2\xi \sqrt{-h}$ , would spoil conf. inv. **2. Einstein-Hilbert term**  $S_{EH} = \frac{\Lambda_{EH}}{4\pi} \int_{\Sigma} d^2\xi \sqrt{-h} \mathcal{R}$ , is a total derivative  $\Rightarrow$  no dynamics; also  $S_{EH} \propto \chi$  Euler char.
- **e.-m. tensor**  $T_{ab} \equiv \frac{4\pi}{\sqrt{-h}} \frac{\delta S_P}{\delta h^{ab}} = -\frac{1}{\alpha'} (G_{ab} - \frac{1}{2} h_{ab} G^c{}_c)$ , traceless  $T^a{}_a = 0$  as consequence of Weyl inv., conserved current  $\nabla^a T_{ab} = 0$  w.r.t. local WS diffeo. for on-shell  $X^\mu$
- **gauge fixing:**  $h_{ab}$  symmetric has  $\frac{d}{2}(d+1)$  d.o.f., diffeo. + Weyl has  $(d+1)$ , hence for  $d=2$  where Weyl trafo.  $\omega(\xi)$  s.t.  $\sqrt{-h} \mathcal{R} \rightarrow \sqrt{-h}(\mathcal{R} - 2\Delta\omega) = 0$  implies  $R^a{}_{bcd} = 0$ , we can (locally) gauge away all metric d.o.f., then diffeo. trafo. to obtain flat WS  $h_{ab} = \eta_{ab}$ 
  - note: leaves large **residual gauge symmetry** generated by conformal Killing vectors  $\epsilon$  satisfying  $(\nabla \cdot \epsilon)_{ab} = \nabla_a \epsilon_b + \nabla_b \epsilon_a + \nabla^c \epsilon_c h_{ab} = 0$  whose effect on metric can be undone by Weyl trafo.
- **lightcone coordinates:**  $\xi^\pm = \tau \pm \sigma$ ; metric  $h_{\pm\pm} = 0$ ,  $h_{\pm\mp} = -\frac{1}{2}$ ,  $h^{\pm\mp} = -2$ ; line element  $ds^2 = h_{ab} \xi^a \xi^b = -d\tau^2 + d\sigma^2 = -d\xi^+ d\xi^-$
- e.m.-tensor:  $T_{\pm\pm} = -\frac{1}{\alpha'} \partial_{\pm} \mathbf{X} \cdot \partial_{\pm} \mathbf{X}$ , tracelessness  $T_{\pm\mp} = 0$ , conservation  $\partial_{\mp} T_{\pm\pm} = 0 \Rightarrow T_{\pm\pm}(\xi^{\pm})$ ; crucial: in flat gauge,  $h_{ab}$ -e.o.m.  $T_{ab} = 0$  still has to be enforced as constraint  $T_{\pm\pm} = 0$
- **mode expansion:** varying flat gauge  $S_P = \frac{T}{2} \int_{\Sigma} d\tau d\sigma [(\partial_{\tau} \mathbf{X})^2 - (\partial_{\sigma} \mathbf{X})^2] = T \int_{\Sigma} d^2\xi \partial_{+} \mathbf{X} \cdot \partial_{-} \mathbf{X}$  yields free wave equation  $(\partial_{\tau}^2 - \partial_{\sigma}^2) X^\mu = 0 = \partial_{+} \partial_{-} X^\mu$  as string e.o.m. provided b.t. vanish: cl. string  $\checkmark$ , open string requires Neumann ( $\partial_{\sigma} X^\mu = 0$ ) and/or Dirichlet ( $\delta X^\mu = 0 = \partial_{\tau} X^\mu$ ) b.c. at  $\sigma = 0, l$ ; each has diff. exp., e.g. open NN string:  $X^\mu = x^\mu + \frac{p^\mu \tau}{T l} + i\sqrt{2\alpha'} \sum_{n \neq 0} \frac{\alpha_n^\mu}{n} e^{-i\frac{\tau}{T} n \tau} \cos(\frac{n\pi\sigma}{l})$ 
  - modes fulfill comm. rel.  $[\alpha_m^\mu, \alpha_n^\nu] = m \eta^{\mu\nu} \delta_{m,-n}$ ;  $[x^\mu, p^\nu] = i\eta^{\mu\nu}$
  - insert resulting  $\partial_{\pm} X^\mu$  into e.m.-tensor to get its mode expansion  $T_{\pm\pm} = 4\alpha' \sum_{m \in \mathbb{Z}} L_m^{\pm} e^{-i\frac{2\pi}{l} m \xi^{\pm}}$  i.t.o. the Virasoro generators  $L_m$ ;  $T_{ab} = 0$  implies the **Virasoro constraints**  $L_m^{\pm} = 0 \forall m \in \mathbb{Z}$
- **Dp-brane** is  $(p+1)$ -dim. hypersurface on which open strings can end, fixing them in dims. normal to it; mom. exchange with string implies brane is a dynamical (albeit non-perturbative) object itself
- **Hamiltonian:**  $H_{op} = \frac{\pi}{l} (\frac{1}{2} \alpha_0^2 + \frac{1}{2} \sum_{n \neq 0} \alpha_{-n} \cdot \alpha_n) = \frac{\pi}{l} L_0$ , must vanish since  $T_{ab} = 0$  which implies **mass shell cond.**, e.g. for open string  $M^2 = -\frac{2}{\alpha'} = \frac{1}{\alpha'} \sum_{n=1}^{\infty} \alpha_{-n} \cdot \alpha_n$ , for closed string  $H_{cl} = \frac{2\pi}{l} (L_m^+ + L_m^-) \propto \partial_{+} \checkmark \partial_{-} \checkmark \partial_{\tau} = 0$  implem. time reparametr. inv.

## 3 Bosonic string quantization

- 3 different ways to quantize: **1. old covariant (OCQ):** Viras. constr. implemented at quantum level; manifestly Lorentz covariant, but unitary only in critical number  $d_{crit}$  of ST dims. **2. lightcone**

(LCQ): Viras. constr. implemented classically, manifestly unitary, but Lorentz covariant only in  $d = d_{crit}$  **3. path-integral (PIQ):** uses Faddeev-Popov (FP) gauge fixing procedure, criticality equivalent to closure of BRST algebra, only closed in  $d = d_{crit}$

- **normal ordering**  $\mathcal{N}(\alpha_m^\mu \alpha_n^\nu) = \alpha_m^\mu \alpha_n^\nu$  for  $m \leq n \wedge \alpha_n^\nu \alpha_m^\mu$  else introduces ambiguity in  $L_0 \rightarrow L_0 - a$  only, captured in norm. ord. const.  $a$  interpreted as Casimir energy, fixed by consistency cond.
- **Virasoro algebra**  $[L_m, L_n] = (m-n)L_{m+n} + \frac{c}{12} m(m^2-1) \delta_{m,-n}$  is central extension by  $\mathbb{C}$  of classical Witt algebra, **central charge**  $c = \eta^\mu{}_\mu = d$  given by number of scalar fields  $X^\mu$ ;  $c \neq 0$  indicates quantum anomaly of WS conformal symmetry
- **phys. state cond.**  $(L_m - a \delta_{m,0})|\phi\rangle = 0 \forall m \geq 0 \wedge |\phi\rangle \in \mathcal{H}_{phys}$
- **tower of states:**  $M_{op}^2|\phi\rangle = \frac{1}{\alpha'}(N-a) + T^2 \Delta \mathbf{x}^2|\phi\rangle$  with number op.  $N$  counting excitations by creators  $\alpha_n$ ,  $n \leq 0$ ;  $M_{cl}^2|\phi\rangle = \frac{2}{\alpha'}(N^+ + N^- - a)|\phi\rangle$  governed by level matching condition  $(N^+ - N^-)|\phi\rangle = 0$
- **criticality:** string spectrum analysis reveals unitarity (OCQ)/non-anomalous Lorentz algebra (LCQ) requires  $a = 1$ ,  $d = 26$
- bosonic vacuum  $|0, \rangle$  is **tachyonic**  $M^2 = -\frac{1}{\alpha'}$ ; known from QFT as not inconsistent, merely signal instability of (naive) vacuum
- for general b.c.s, Casimir energy increases by  $\frac{1}{24}$  per NN/DD dim. and decr. by  $-\frac{1}{48}$  per ND/DN dim., i.e.  $a_{tot} = \frac{d-2}{24} - \frac{n_{ND} + n_{DN}}{16}$
- open spectrum with D-branes: first-level excitations parallel to brane form **massless vector** = gauge field  $\Rightarrow$  single brane hosts  $U(1)$  gauge theory; normal exc. =  $n_{DD}$  massless scalars (Goldstone bosons assoc. with spontan. breaking of 26-dim. Poincaré inv.)
- $N$  coincident branes carry  $U(N)$  gauge theory; in orientifolded theories also  $SO(N)$  and symplectic  $Sp(2N)$  gauge groups possible
- first-level closed string polarization tensor decomposes into 3 irred. repr. of little group  $SO(24)$ :  $\xi_{ij} = g_{ij} + B_{ij} + \phi \delta_{ij}$ , with  $g_{ij}$  massless, transversely polarized spin 2 particle (**graviton**),  $B_{ij}$  antisymmetric (**Kalb-Ramond**) tensor field,  $\phi$  scalar field (**dilaton**)
- **PIQ:** partition function  $Z = \int \mathcal{D}X \det(\nabla) e^{iS_P[X, \hat{h}]}$  with FP determinant  $\det(\nabla)$ , arbitrary reference metric  $\hat{h}$ 
  - can be written  $Z = \int \mathcal{D}X \mathcal{D}b \mathcal{D}c e^{i(S_P + S_g)}$ , by introducing FP ghost  $c^a(\xi)$ , antighost  $b_{ab}(\xi)$  (anti-commuting, fermionic fields with integer spin, negative norm states), governed by ghost action  $S_g = \frac{-i}{2\pi} \int_{\Sigma} d^2\xi \sqrt{-h} \hat{h}^{ab} c^d \nabla_a b_{bd} \stackrel{lg}{=} \frac{i}{\pi} \int_{\Sigma} d^2\xi (c^+ \partial_- b_{++} + c^- \partial_+ b_{--})$  and e.o.m.s  $\nabla^a b_{ab} = 0 = \partial_{\mp} b_{\pm\pm}$  &  $\nabla \cdot c = 0 = \partial_{\mp} c^{\pm} \Rightarrow c^a$  in 1-to-1 corresp. with conf. Killing vectors.
- **ghost Virasoro alg.**  $[L_m^g, L_n^g] = (m-n)L_{m+n}^g + \frac{m}{6}(1-13m^2)\delta_{m,-n}$  where  $L_m^g = \sum_{n \in \mathbb{Z}} (m-n) \mathcal{N}(b_{m+n} c_{-n})$  i.t.o. anti-comm. ghost modes  $b_n, c_n$  with  $\{c_m, b_n\} = \delta_{m,-n}$ ,  $\{c_m, c_n\} = \{b_m, b_n\} = 0$ 
  - yields combined Virasoro alg.  $[L_m^{\text{tot}}, L_n^{\text{tot}}] = (m-n)L_{m+n}^{\text{tot}} + m[\frac{c^{\text{tot}}}{12}(m^2-1) + 2(a-1)]\delta_{m,-n}$  with central charge  $c^{\text{tot}} = c^X + c^g$  where  $c^g = -26$ ,  $c^X = d$  in  $\mathbb{R}^{1,d-1}$ , hence Weyl anomaly in PI absent iff  $d = 26$ ,  $a = 1$  (this really fixes  $c^X$ , only indirectly  $d$ )
- **BRST symmetry** generated by necessarily nilpotent cons. charge  $Q_B$ ,  $Q_B^2 = 0$  holds if full Viras. alg. non-anomalous ( $d = 26$ ,  $a = 1$ ), i.e. BRST consistency requires absence of total Weyl anomaly
  - $Q_B|\phi\rangle = 0 \forall |\phi\rangle \in \mathcal{H}_{phys}$  is necessary phys. state cond.; pos. norm Hilbert space  $\mathcal{H}_{phys} = \frac{\mathcal{H}_{cl,0}}{\mathcal{H}_{exac}} = \frac{\ker(Q_B)}{\text{Im}(Q_B)} \equiv Q_B$  cohomology

## 4 Conformal field theory

- examples: **1.** string WS is 2-dim. CFT **2.** at fixed points of RG eqs. in QFT, theory becomes scale inv. **3.** at crit. points in CMP and SP where correlation length diverges **4.** AdS/CFT corresp. relates gravity on AdS space to CFT on its boundary
- **conformal trafo.** = diffeom. that changes metric  $g_{\mu\nu}(x) \rightarrow \partial_{\mu'} x^\alpha \partial_{\nu'} x^\beta g_{\alpha\beta} \stackrel{1}{=} e^{\omega(x)} g_{\mu\nu}(x)$  only by a factor, i.e. infinitesimally  $\partial_{\mu'} \epsilon_{\nu'} + \partial_{\nu'} \epsilon_{\mu'} = \omega(x) g_{\mu\nu}$  if we set  $x'^{\mu} = x^{\mu} - \epsilon^{\mu}(x)$  and  $e^{\omega(x)} = 1 + \omega(x)$ 
  - conf. trafos. include translations, Lorentz trafos., dilations, special conf. trafos. (= inversion, translation, another inversion)
  - $\omega(x)$  satisfies constraints some of which are vacuous in  $d = 2 \Rightarrow$  makes group of infinites. conf. trafos. less restrictive, its volume infinite; this allows to solve some theories exactly/completely
- group of finite conf. diffeos.  $z \rightarrow \frac{az+b}{cz+d}$  on  $S^2$  is **Möbius group**  $PSL(2, \mathbb{C}) = SL(2, \mathbb{C})/\mathbb{Z}_2$  (since  $(a, b, c, d) \stackrel{\mathbb{Z}_2}{\sim} (-a, -b, -c, -d)$  same trafo.); generated by  $l_{-1}, l_0, l_1$ , with  $l_n = -z^{n+1} \partial_z$  (which fulfill

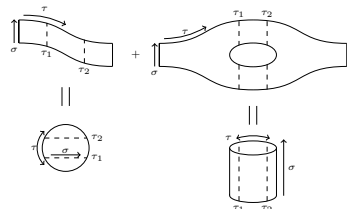
**Witt alg.** = Viras. alg. but classical, i.e. no  $c$ -term)

- import. prop. of  $PSL(2, \mathbb{C})$ : maps any 3 distinct points to any other 3, crucial since used to remove gauge redundancy by fixing positions of asymptotic in- and out-states in scattering ampl.
- **primary fields** transform as tensors  $\phi(z, \bar{z}) \rightarrow \phi'(z', \bar{z}') = (\partial_z f)^{-h} (\partial_{\bar{z}} \bar{f})^{-\bar{h}} \phi(z, \bar{z})$  under conf. trafo.  $z \rightarrow z' = f(z)$ , where  $(h, \bar{h}) =$  conf. weights ( $h + \bar{h} =$  mass dim.,  $h - \bar{h} =$  spin)
- infinites.:  $f(z) = z + \epsilon(z) \Rightarrow \delta_{\epsilon, \bar{\epsilon}} \phi = -(h \partial_z \epsilon + \epsilon \partial_z + \bar{h} \partial_{\bar{z}} \bar{\epsilon} + \bar{\epsilon} \partial_{\bar{z}}) \phi$
- together with (successive) operator product exps. (OPE), primaries can be used to express all higher  $n$ -point fcts. i.t.o. lower correlators; this is idea behind defining CFT i.t.o. finite amount of data, namely conf. anomaly  $c$ , spectrum of primaries  $\phi_j$ , their weights  $h_j$  and OPE coeffs.  $C_{ij}^k$
- **quasi-primary field**: like primary, but only for  $f \in PSL(2, \mathbb{C})$
- applied to strings:  $X^\mu$  not (quasi)primary, but  $\partial X^\mu, \mathcal{N}(e^{ik \cdot X})$  are
- radially ord. **OPE**: by **Wick's thm.**,  $\mathcal{R}(\prod_i \phi_i) = \mathcal{N}\{\prod_i \phi_i + \sum_{j \neq k} \langle \phi_j \phi_k \rangle \prod_{i \neq j, k} \phi_i + \sum_{j \neq k}^{l \neq m} \langle \phi_j \phi_k \rangle \langle \phi_l \phi_m \rangle \prod_{i \neq j, k, l, m} \phi_i + \dots\}$
- **conf. Ward-Takahashi id.**:  $\delta_{\epsilon, \bar{\epsilon}} \mathcal{O}(z, \bar{z}) = -\int_{C_z} \left[ \frac{dw}{2\pi i} \epsilon(w) T(w) + \frac{d\bar{w}}{2\pi i} \bar{\epsilon}(\bar{w}) \bar{T}(\bar{w}) \right] \mathcal{O}(z, \bar{z}) \Rightarrow$  info about conf. trafos. encoded in residua of OPE with e.m.-tensor (integrand radially ordered)
- yields OPE of  $T(z)$  with primary  $\phi(w)$  of weight  $h$ :  $\mathcal{R}[T(z)\phi(w)] = \frac{h\phi(w)}{(z-w)^2} + \frac{\partial_w \phi(w)}{z-w} + \text{reg. terms}$
- e.m.-tensor OPE follows from  $[L_m, L_n]$  as  $T(z)T(w) = \frac{c/2}{(z-w)^4} + \frac{2T(w)}{(z-w)^2} + \frac{\partial_w T(w)}{z-w} \Rightarrow T(z)$  primary of  $h = 2$  if  $c = 0$
- **operator-state correspondence**: isomorphism in 2-dim. CFT that relates primary fields to highest weight states, e.g.  $|\phi\rangle = \phi(0)|0\rangle = \phi_{-h}|0\rangle$  for  $h$ -weight primary with expansion  $\phi(z) = \sum_{n \in \mathbb{Z}} \phi_n z^{-n-h}$ , by residue thm.  $\phi_n = \int_{C_0} \frac{dz}{2\pi i} \phi(z) z^{n+h-1}$
- requiring BRST-inv. for  $X$ -CFT gives phys. state cond.  $L_m|\phi\rangle = 0 \forall m > 0$  and  $(L_0 - 1)|\phi\rangle = 0 \Rightarrow$  phys. states are in 1-1 corresp. with primaries of weight  $h = 1$ ; leads to concept of **vertex operator**  $\equiv$  primary field of  $h = 1$ , e.g.  $\mathcal{N}(e^{ik \cdot X})$  with  $h = \frac{\alpha'}{4} k^2 \stackrel{!}{=} 1 \Rightarrow M^2 = -\frac{4}{\alpha'}$  or  $\mathcal{N}[\partial X^\mu(z) e^{ik \cdot X(z)}]$  with  $h_{V_1} = 1 + \frac{\alpha'}{4} k^2 \stackrel{!}{=} 1 \Rightarrow k^2 = 0$  inserted at  $z = 0$ , creates first exc. level phys. state from  $PSL(2, \mathbb{C})$ -inv. vacuum
- **Verma module**  $V_{h_j}$  is span of all states of form  $|\phi_j^{k_1 \dots k_m}\rangle = \prod_i^m L_{-k_i} |\phi_j\rangle$  with ascending  $k_i$  and conf. weight  $h_V = h_j + \sum_i^m k_i$
- **CFT unitarity**: holds if conformal anomaly  $c > 0$  and spectrum of primaries  $\phi_j$  fulfills  $h_j \geq 0 \forall j$  and  $h_\phi = 0 \Leftrightarrow \phi = \mathbf{1}$ , i.e. only  $PSL(2, \mathbb{C})$ -inv. vacuum may have  $h = 0$

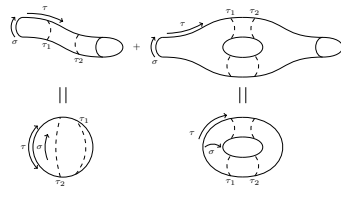
## 5 String interactions

- no localized vertices, interac. captured by **global WS topology**  $\Rightarrow$  no need to add arbitrary terms to WS action,  $S_F$  remains free
- thus correlators of diff. fields (bosons, fermions, ghosts) decouple (unlike e.g. Yang-Mills with ghost-gauge interact.), *very* useful
- goal in string perturbation: **S-matrix** of scattering process, at each order latter may (by conformal symmetry) be described by compact WS with vertex op. insertions instead of asymptotic in-/out-states
- S-matrix sums up all WS topols.; imp. thm. 'every compact, connected, oriented 2-dim. manifold topologically equiv. to sphere with  $(g, b)$  handles, boundaries'  $\Rightarrow$  WSs classified by **Euler char.**  $\chi = 2 - 2g - b$ , a topol. inv. under continuous deformations of WS metric, given by **Riemann-Roch thm.**  $\chi = \int_\Sigma \frac{d^2\xi}{4\pi} \sqrt{-h} \mathcal{R} + \int_{\partial\Sigma} \frac{ds}{2\pi} k$ , Ricci sc.  $\mathcal{R}$ , geodesic curvat.  $k$
- $S_{j_i}(k_i) = \sum_{\text{topos}}^{\text{comp}} \frac{\int \mathcal{D}X \int \mathcal{D}h}{\text{Vol}_{\text{Diff} \times \text{Weyl}}} e^{-S_P - \lambda \chi} \prod_{i=1}^n V_{j_i}(k_i)$  heur. expr. (before gauge fixing) for  $n$  string scattering, added  $\chi$ -term to action (without affecting dynamics) to keep track of topol. in PI
- e.g. tree-level and one-loop topologies: disk  $\mathbb{D}^2$   $[(0, 1), \chi = 1]$ , cyl.  $\mathbb{C}^2$   $[(0, 2), \chi = 0]$ , sphere  $\mathbb{S}^2$   $[(0, 0), \chi = 2]$ , torus  $\mathbb{T}^2$   $[(1, 0), \chi = 0]$

### Open string



### Closed string



- in string theory, single diagram sums over entire mass spectrum, i.e. what in QFT would be described by many diff. Feynman diags.
- as result amplitudes fall off quicker (exponentially) than in QFT, partially **re-** for **UV finiteness** of string loop diags.

- another reason: **modular inv.** [under action of modular group, e.g.  $PSL(2, \mathbb{Z})$  on the torus] acts as intrinsic UV cutoff by excluding divergent region of moduli space from fundamental domain
- some UV divergences arise but no issue for UV finit. due to WS duality between open/closed channel, all can be reinterpreted as IR diver. of dual diag. e.g. cylinder: tree-level cl., one-loop open
- def. **metric moduli**: deformation of metric that cannot be undone by diffeo. or Weyl resc.; by R.-R.-thm. number  $\mu = \dim(\ker P^T)$  of moduli and  $\kappa = \dim(\ker P)$  of conf. Killing vects. fulfill  $\mu - \kappa = -3\chi$  (if  $\chi > 0 \Rightarrow \mu = 0$ , if  $\chi < 0 \Rightarrow \kappa = 0$ )
- **non-linear  $\sigma$ -model** describes strings propagating on curved background (generated by coherent state of its *own* massless fluctuations); consistency to first order in  $\frac{\alpha'}{R_c}$  yields Einstein eqs. for background metric ( $R_c$  typical radius of target space)

## 6 Superstring theory

- remedies tachyon-vacuum, lack of fermionic excitats. of bosonic th.
- obtained by adding  $S_F = -\frac{i}{4\pi} \int_\Sigma d^2\xi \bar{\psi}^\mu \gamma_{AB}^\alpha \partial_\alpha \psi_{B, \mu} \stackrel{\text{lcg}}{=} \frac{i}{2\pi} \int_\Sigma d^2\xi (\psi_+ \cdot \partial_- \psi_+ + \psi_- \cdot \partial_+ \psi_-)$  to  $S_P$ ;  $\psi_\pm$  are Grassmann-valued **Majorana-Weyl spinors** (real, definite chirality) with **Dirac eq.** as e.o.m.  $\gamma^\alpha \partial_\alpha \psi = 0 = \partial_\mp \psi_\pm$ ; mass dim.  $[\psi] = \frac{1}{2}$  ( $[X] = -1$ )
- features **supersymmetry**  $\delta X^\mu = i \frac{\sqrt{\alpha'}}{\sqrt{2}} \bar{\epsilon}_A \psi_A^\mu = i \frac{\sqrt{\alpha'}}{\sqrt{2}} (\epsilon_+ \psi_-^\mu - \epsilon_- \psi_+^\mu)$ ,  $\delta \psi_A^\mu = \frac{\epsilon_B}{\sqrt{2\alpha'}} \gamma_{AB}^\alpha \partial_\alpha X^\mu = \pm \frac{\sqrt{\alpha'}}{2\alpha'} \epsilon_\mp \partial_\pm X^\mu$ ; related to Poincaré by  $\{Q_A, \bar{Q}_B\} \cong 2\gamma_{AB}^\alpha P_\alpha$  (laxly  $SUSY^2 =$  translation)
- generators of **super conformal symmetry**: e.-m. tensor  $T_{\pm\pm} = -\frac{1}{\alpha'} \partial_\pm X \cdot \partial_\pm X - \frac{i}{2} \psi_\pm \cdot \partial_\pm \psi_\pm$  and **supercurrent**  $J_\pm = \frac{1}{2\alpha'} \psi_\pm \cdot \partial_\pm X$
- **super-Viras. constr.:**  $T_{\pm\pm} = 0, J_\pm = 0$  imposed on e.o.m. sols.
- local diffeo. inv. + supersymmetry = local supersym.  $\Rightarrow$  **supergravity** in which also metric  $h_{ab}$  has superpartner, the **gravitino**
- local e.o.m. needs boundary terms to vanish; closed string b.c.s that not mix  $\psi_\pm$  and respect Poincaré sym. are  $\psi_\pm(\sigma+l) = e^{2\pi i \phi_\pm} \psi_\pm(\sigma)$
- $\phi_\pm = 0 (\frac{1}{2})$ : (anti-)per. **Ramond (Neveu-Schwarz) sec.** with (half-)integer mode exp.  $\psi_\pm(\xi^\pm) = \sqrt{\frac{2\pi}{l}} \sum_{n \in \mathbb{Z}(\pm \frac{1}{2})} b_n^\pm e^{-i 2\pi n \xi^\pm}$
- R-R and NS-NS bosonic; R-NS and NS-R fermionic excitations
- **GSO projection**: CFT consistency + stability of vacuum (= no tachyon)  $\Rightarrow$  **Type II A/B** as closed oriented superstring theories
- equal number of bosons + fermions,  $128 + 128$  at massless level
- 2 spin 3/2 fields (gravitino)  $\Rightarrow$  low- $E$ -limit of Type II is SuGra
- WS consistency + vacuum stability imply local SUSY in  $d = 10$
- **Type I<sub>cl</sub> th.** unstable like bosonic theory due to tachyonic vacuum, inconsistent at 1-loop level due to appearance of tadpole
- only 3 consist. superstr. ths. in  $d = 10$ : **Type II A/B** and **Type I** of closed + unoriented open strings with gauge group  $SO(32)$

## 7 Compactification, T-duality, D-branes

- compactification in superstring theory is the op.  $\mathbb{R}^{1,9} \rightarrow \mathbb{R}^{1,3} \times \mathcal{M}^6$  with  $\mathcal{M}^6$  called **internal space**; flat scalar fields whose VEV determine geometric properties of  $\mathcal{M}^6$  called **moduli fields**
- truly stringy **winding states** around compact. dimensions with mass  $M^2 = \frac{\omega^2 R^2}{\alpha'^2 c}$  and indep. left-/right-moving modes  $\alpha_n^\pm$  possible
- **T-duality**:  $n \leftrightarrow \omega, R \leftrightarrow R' = \frac{\alpha'}{R}$  is exact symmetry of closed CFT that affects  $\frac{L}{R} \rightarrow \frac{L}{R} \propto \alpha_0^-, R \rightarrow -\frac{R}{R} \propto \alpha_0^+$ , i.e. **parity on right-movers**
- fig.: parameter space of string th., edges are weakly coupled, interior  $d = 11$  M-theory with coupling of order 1, at low energies described by supergravity
- **D-branes**: dynamical objects that gravitate by coupling to closed strings in NS-NS sector, i.e. have mass; are charged under R-R  $p$ -form potentials
- worldvolume of D-branes not static, exhibit quantum fluctuations in normal directions described by scalar light open-string excitations
- intersecting **brane worlds**: important in string phenomenology to make contact between  $d = 10$  and  $\mathbb{R}^{1,3}$ ; stack of two branes  $D_A, D_B$  intersecting along  $\mathbb{R}^{1,3}$  gives rise  $U(N_A) \times U(N_B)$  Yang-Mills th. + 1 chiral fermion transf. in bifundamental  $(\bar{N}_A, N_B)$ , i.e. structure of SM  $SU(3) \times SU(2) \times U(1)_Y$  for  $N_A = 3, N_B = 2, N_C = 1$
- every 4-dim. eff. th. obtained by compactif. corresp. to diff. choice of vacuum; tog. all solutions called **landscape of string vacua**

